

FORM PTO-1390
(REV. 01-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

P/3240-92

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/501293

INTERNATIONAL APPLICATION NO.

PCT/EP02/14468

INTERNATIONAL FILING DATE

18 December 2002

PRIORITY DATE CLAIMED

11 January 2002

TITLE OF INVENTION

CASTING ROLL AND PROCESS FOR PRODUCING A CASTING ROLL

APPLICANT(S) FOR DO/EO/US

Gerald HOHENBICHLER et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). - unsigned
10. ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). including amended claims

Items 11 to 20 below concern document(s) or information included:

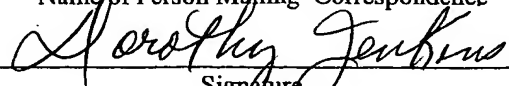
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. and 4 references
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance
18. ☐ A second copy of the published international application under
19. ☐ A second copy of the English language translation of the interna
20. ☒ Other items or information:
1 sheet of drawings.
Prit EFS form. Post card.

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label EV342527476US in an envelope addressed to: Mail Stop: Box PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 12, 2004

DOROTHY JENKINS

Name of Person Mailing Correspondence



Signature

July 12, 2004

Date of Signature

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/5012.93

INTERNATIONAL APPLICATION NO.

PCT/EP02/14468

ATTORNEY'S DOCKET NUMBER

P/3240-92

21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO..... \$1080.00

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$920.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =**CALCULATIONS PTO USE ONLY**

\$ 920.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months
from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	50 - 20 =	30	x \$18.00	\$ 540.00
Independent claims	3 - 3 =		x \$86.00	\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$290.00 \$

TOTAL OF ABOVE CALCULATIONS =

\$ 1460.00

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
are reduced by 1/2.

\$

SUBTOTAL =

\$ 1460.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months
from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 1460.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

TOTAL FEES ENCLOSED =

\$ 1460.00

Amount to be
refunded:

\$

charged:

\$

a. ☒ A check in the amount of \$ 1460.00 to cover the above fees is enclosed. Check NO. 17438

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card
information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)
or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

OSTROLENK, FABER, GERB & SOFFEN, LLP
1180 Avenue of the Americas
New York, NY 10036-8403

Tel: (212) 382 0700

SIGNATURE

Robert C. Faber

NAME

24,322

REGISTRATION NUMBER

P/3240-92

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	New York, New York
Gerald HOHENBICHLER et al.	Date: July 12, 2004
Serial No.: Not yet known	Group Art Unit: ---
Filing Date:	Examiner:

For: CASTING ROLL AND PROCESS FOR PRODUCING A CASTING ROLL

Hon. Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

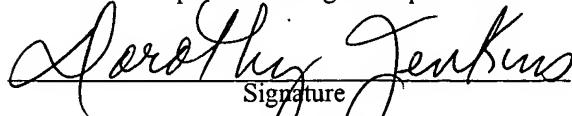
SUBMISSION

Sir:

Submitted herewith is a copy of the International Search Report, prior art cited in the specification, and prior art cited by a patent searching authority other than the PTO, together with a form listing the same for the convenience of the Examiner.

EXPRESS MAIL CERTIFICATE
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EV 342527476 US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 12, 2004:

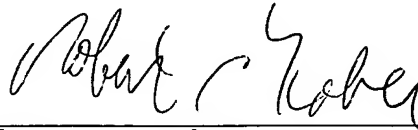
Dorothy Jenkins
Name of person mailing correspondence


Signature

July 12, 2004

Date of Signature

Respectfully submitted,



Robert C. Faber

Registration No.: 24,322

OSTROLENK, FABER, GERB & SOFFEN, LLP
1180 Avenue of the Americas

New York, New York 10036-8403

Telephone: (212) 382-0700



Post Office To Addressee

ORIGIN (POSTAL USE ONLY)			DELIVERY (POSTAL USE ONLY)		
PO ZIP Code	Day of Delivery <input type="checkbox"/> Next <input type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>	Delivery Attempt	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature 107501293
Date In Mo. Day Year	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$	Mo. Day	<input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Time In <input type="checkbox"/> AM <input type="checkbox"/> PM	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee	Delivery Date	Time <input type="checkbox"/> AM <input type="checkbox"/> PM	Employee Signature
Weight lbs. ozs.	Int'l Alpha Country Code	COD Fee Insurance Fee	<input type="checkbox"/> WAIVER OF SIGNATURE (Domestic Only) Additional merchandise insurance is void if waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.		
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage & Fees \$	NO DELIVERY <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday Customer Signature		
CUSTOMER USE ONLY METHOD OF PAYMENT: Express Mail Corporate Acct. No. X098096			Federal Agency Acct. No. or Postal Service Acct. No.		
FROM: (PLEASE PRINT) PHONE () Robert C. Faber, OSTROLENK, FABER, GERB & SOFFEN 1180 AVENUE OF THE AMERICAS FL 7 NEW YORK NY 10036-8443 P/3240-92 July 12, 2004			TO: (PLEASE PRINT) PHONE () MAIL STOP PCT COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA VA 22313-1450		
PRESS HARD. You are making 3 copies. FOR PICKUP OR TRACKING CALL 1-800-222-1811 www.usps.com					

Ostrolenk, Faber, Gerb & Soffen, LLP

1180 Avenue of the Americas
New York, N.Y. 10036

17438

PAY TO THE
ORDER OF

COMMISSIONER OF PATENTS AND TRADEMARKS

\$ 1460.00**1460.00**

DOLLARS

THE CHASE MANHATTAN BANK
401 MADISON AVENUE
NEW YORK, NY 10017OSTROLENK, FABER, GERB & SOFFEN, LLP
NOT VALID OVER \$5,000.00

CHARGE ANY ADD'L FEES TO DEPOSIT ACCT. #15-0700

APPLICATION S/N
CLIENT NO.

"017438" 021000021134726650"

PCT/EP02/14468

 Serial No. _____ Filing Date _____ OFGS File No. **P/3240-92**
 Title **CASTING ROLL AND PROCESS FOR PRODUCING A CAST**
 First Inventor **Gerald HOHENBICKLER et al** Date **July 12, 2004**
 The PTO has received: Last Due Date: **7/11/04**
☒ Patent Application of _____
 Pages (including claims & abstract)
☒ Declaration or Designation Sheet
☒ Drawings 1 Sheet(s)/Figs. 1 to 3
☐ Priority Document
☐ Small Entity Declaration
☐ Assignment & Conveyance Cover Sheet
☒ Information Disclosure Statement
☒ PTO-1449 + 4 refs.
☒ Amendment - preliminary
☐ Affidavit or Declaration
☒ English translations.
☒ Check No. 17438 for \$ 1460.
☐ TM Application ☐ ITU Basis
☐ Statement of Use
☐ Extension of Time
☐ Notice of Appeal
☐ Brief
☐ Petition
☐ Status Request
☐ Issue Fee
☐ Certificate of Mailing
☒ Express Mail No. **EV342527476US**

PTO STAMP